

RE: Mr Pettman - Offer of Consultancy and Relat..

Subject: RE: Mr Pettman - Offer of Consultancy and Related Matters.

From: George Eaton-Busfield <george@ig-cv.com>

Date: 04/06/2018, 16:43

To: Stuart Pettman - Spap <spettman@spap.co.uk>; Stuart Pettman <stuartpettman@gmail.com>

CC: index arb <indexarb@hotmail.com>; Aubrey Hayward <aubrey.hayward@aragonfinancial.co.uk>; Adam Linton <adam.linton@aragonfinancial.co.uk>; Jason, The JET Group <jason.earl@thjetgroup.co.uk>; Adam Voce <adam@ig-cv.com>

Dear Stuart,

Thanks for your time on the phone just now - appreciated. As we got cut off by signal - thought worth just confirming what we discussed to make sure all on the same page etc.

I relayed that we could get the £15,000 over to you today as promised, but you felt best if we could try and do it all together tomorrow morning. So I will proceed accordingly. With this in mind - please can you please email bank details for payment(s) and also advise if the VAT number you have received was indeed for you personally or for your company? (As mentioned, will need VAT invoices from you, and if you have the VAT number great but if not then I believe you have to put "Number Pending" on the invoice and include the VAT, and then also re-issue a replica with your VAT number once it arrives). (FYI - The invoices will need to be issued to our 'Realtime Analysis and News Limited, Company Number 05633006 at Moor Place, Fore Street, London, EC2Y 5E7'). So this should all now be good.

With regards to the administration - as I said I haven't had chance to read the documents you sent today but I will and then try and touch base with you this evening / tomorrow morning. As I said I think Jason will be able to get an hour with RAN in the morning if needs be but I understand from what you have said that you / others view it as agree to everything for now - I will revert if I feel I can provide any alternate input.

Anyway, was good to talk - please call me direct on either of these matters or anything else if needs be as far easier than hearing various conflicting things third hand.

Speak later or early tomorrow morning

Kind Regards

George

From: George Eaton-Busfield

Sent: 03 June 2018 22:35

To: Stuart Pettman - Spap <spettman@spap.co.uk>; Stuart Pettman <stuartpettman@gmail.com>; Adam Voce <adam@ig-cv.com>; Jason, The JET Group <jason.earl@thjetgroup.co.uk>

Cc: George Eaton-Busfield <george@ig-cv.com>; David Bailey <David.Bailey@healys.com>; Kelly Tinkler <kelly.tinkler@keystonelaw.co.uk>; index arb <indexarb@hotmail.com>

Subject: FW: Mr Pettman - Offer of Consultancy and Related Matters.

Importance: High

Dear Stuart, Al,

Without Prejudice and Strictly Confidential.

Stuart, thank you for your email below. Sorry I missed you call yesterday I / we will revert verbally if needs be in addition to this response.

As ever, please note my reaffirmation that my input to these discussions is entirely informal and intended only to try and facilitate the relevant parties' discussions in order they can attain a positive, amicable and swift progression to the just objectives I believe all share. As I always advocate, all parties who have an interest or say on these matters should seek their own legal and professional advice at their own cost as they see fit. Similarly, I ask all parties related to any content discussed herein to kindly advise by return if I have misunderstood, or misinterpreted any facts - particularly as much is relayed to me 3rd hand and in many instances I have not been directly informed of the detail or the facts. My thanks in advance.

Firstly, I / we reiterate my / our apologies for the time these matters have taken, longer than hoped for sure, but moreover I / we are saddened that we have negatively impacted on some much valued relationships. I / we can only share that my / our commitment to aspire to the utmost values of integrity, fairness, equitability and honesty have and do remain a constant in all actions and decisions made - whether personally and professionally. Indeed, I / we believe it is simply symptomatic of the complexity of these matters - across such factors as the aforesaid aspirations to adhere to the highest values of conduct, my own health, and the numerous important stakeholders involved, whom I / we unanimously feel there is a moral duty to protect and satisfy in / for me. I / we are interested or say on these matters should seek their own legal and professional advice at their own cost as they see fit. Similarly, I ask all parties related to any content discussed herein to kindly advise by return if I have misunderstood, or misinterpreted any facts - particularly as much is relayed to me 3rd hand and in many instances I have not been directly informed of the detail or the facts. My thanks in advance.

*allegedly as until proven by a court of law of course. Notwithstanding the extensive and categorical evidence at hand.

Above said, I / we now proceed below to try and pragmatically and materially assist to address the specific items of this email. I truly hope that in doing so significant and accelerated positive progress can be made unilaterally for all. In pursuit for justice we are all on the same team.

In addition, and FYI, please note that Jason has already contacted me today and we have a call set for this evening, and whilst I am still awaiting some input from Adam Voce I have put a number of calls into him today and fully anticipate that he will revert later as required - he may indeed join the above call and or another later today or pre 8.30am tomorrow morning. **This email should act as a further reminder to Mr Voce and ALL others to respond as previously requested below, thanks in advance.**

With regards to your email response below - I may need to revert further, but initial response, notes and queries for your / others attention as previously requested are as follows:

1. Have updated the companies and information that I understand to be of potential relevance below - within your email response (in blue) - please confirm the detail of the updates and info regarding the companies' such that it aligns to your own knowledge - I have tried to be holistic and inclusive (just in case needed) but aware of these entities may not be directly of relevance in the specifics of this email, or any related documentation.
2. VAT registration - noted, thanks.
3. Stuart - There are a number of requests in the original email that you appear to have missed / not addressed (unless I am mistaken) - be grateful if you could revert as requested therein - I summarise for your convenience as follows:
 - a. Re: the attachment and associated changes at companies house - as noted they occurred on the same day - do you have any thoughts / input on what they may mean / infer?
 - b. Liveness/cracker meeting - similarly please can you advise on what the significant points and potential impacts of this are on the company & its creditors?
 - c. Please advise when you anticipate attaining a VAT number for yourself and your company?
4. Adam / Jason - to re-iterate the previous email requests:
 - a. Please revert with the calculations requested re RAN - as discussed yesterday. Please call if anything else you need. - AV
 - b. Adam please also call after your funeral today to ensure and confirm the other related HR matters have been completed and / or are on track to be completed Monday as discussed. - AV
 - c. Please can you also both provide a list of roles and responsibilities that you think appropriate and of value to ask to be included in any consulting / business development role? - AV / JE(There are various authorisations required relating to the above etc. - but will raise in a separate email chain with you both / others as appropriate or necessary). - JE / AV

I will respond further, insofar as I am able (pending above inputs), with the above etc. - but will document and further commentary tomorrow morning - once again with intent, to enable a payment and conclusion to be secured in relation to all these matters herein tomorrow.

Regards,

George

ps. Please excuse the lengthy email - I am aware your style is for shorter correspondence - however there has been multiple requests to better share information across the various connected parties and thus such an email summary (as opposed to x6 one hour calls with individuals) is preferable and as previously relayed there is a moral obligation to 'look out for' and protect the interests of numerous stakeholders in this matter alongside yours. Thank you in advance for your understanding.

pps. Lastly, in the interests of expediting progress, and superseding any directions above, please contact Adam Voce on 07785 227586 / adam@ig-cv.com if you have any queries on these matters - predominantly as he has been most materially involved in the majority of past discussions and therefore is the most informed - this will also accommodate the fact that both my and Jason Earl's capacity is severely restricted at present due to the need to focus on numerous other critical matters alongside this. Please copy myself, Mehul Patel and Jason Earl in to any such correspondence (emails as above). Thank you.

ppps. In the proposed resolutions tomorrow we hope to also address the matter of professional insurance for your services.

From: Stuart Pettman <stuartpettman@gmail.com>

Sent: 03 June 2018 09:25

To: George Eaton-Busfield <george@ig-cv.com>; Jason Earl, RET <jason.earl@thjetgroup.co.uk>; Adam Voce <adam@ig-cv.com>; Kelly Tinkler <kelly.tinkler@keystonelaw.co.uk>; David Bailey <David.Bailey@healys.com>; indexarb@hotmail.com

Subject: Answers as requested

Dear George, Thank you for the email. Here are the answers to your questions that are dependent for you to complete the documentation.

- Aside from what has been discussed previously with the team there is no new information to add.
- The only update that I have is that the creditors meeting has been postponed until 6/6/2018 at 11:00am

UPDATE:

- **LIVE EQUINOR HOLDINGS LTD 07935482** - Incorporated on 18 February 2011. In Administration High Holborn House, 52-54 High Holborn, London, WC1V 6PL.
- **LIVE EQUINOR HOLDINGS LTD 07935482** - Incorporated on 18 August 2014 55 Commercial Road, Parkside Street, Islevalley, Kent, United Kingdom, TN21 8EN
- **LIVE EQUINOR HOLDINGS LTD 07935482** - Incorporated on 27 April 2011, Clemece Hour Canning LLP Riverside, 1-5 Gamo Street, Barking, RM7 3JN
- **TRIST CALL MANAGEMENT LTD 06424064** - Incorporated on 22 February 2007 7 Biskops Yord, 151 - 153 Bermondsey Street, London, SE1 3JH
- **LIQUESAQU HOLDINGS INTERNATIONAL LIMITED** - Incorporated on 18 January 2014 with Register of Companies, Bangalore. Registered office is at No 501 Prasad Heritage 2 30 M G Road Bangalore KA 560029 In 4 directors key management. Total paid up capital is INR 1.0 LAC. No reported secured loans.
- **MAP CONSULTANTS LTD 11315878** - Incorporated on 18 April 2018 15 COMMERCE ROAD, PROODON WOOD, KENT, UNITED KINGDOM, TN21 8EN
- **S&A REGULATORY CONSULTANTS LTD 11315788** - Incorporated on 18 April 2018 71-75 Shelton Street, London, Greater London, UNITED KINGDOM, WC2H 9JQ
 - My Address is 10 Broad Beaky Lane, S&S 888.
 - Liveness/cracker meeting: Riverside House, 1-5 Gamo Street, Barking, RM7 3JN
 - S&A RegTech Consultants Limited 71-75 Shelton Street, London, WC2H 9JQ
 - Liveness/cracker meeting: PVT limited. To follow

Please confirm if I have missed any companies previously discussed. Also please note (as per your holding purchase or options can only be achieved if I am legally allowed to do so and with the relevant permission from any third parties involved).

- I confirm that I have explained to NO third party and to SPW Consultancy Limited with previous Accreditation. As soon as the numbers and information they will be provided to you.
- Roles and responsibilities.
 - o I bring 30 years experience in the city and have co-owned a squawk company previously. With this historical experience I anticipate introducing your company using my relationships to:
 - o **Bank managers** at Tier 1 and 2 banks.
 - o **A comprehensive list** has already been shared with 4 of these on trial.
 - o **Actual FX brokers.**
 - A comprehensive list has already been shared.
 - one already making the transition and another on trial.
 - o **IPC connectivity** to banks and Central Bank fast feed offerings.
 - Infrastructure in place, various connectivity and waiting for announcement date. Just waiting for the product to be connected internally.
 - Bank of England feed components all in place, again just need connecting internally to test at next QBR meeting with anticipated cut out at the meeting after
 - FOMC feed, two of the three components needed are now in place.
 - o **Exchanges** namely
 - LME (no offer content for your metals channel, bandwidth dependent)
 - ICE (no offer content for your energy channel, bandwidth dependent)
 - CME (no offer content to metals, energy, Multi asset channels, bandwidth dependent).
 - o **RFI/whistleblower flow.**
 - Need to make necessary introductions to the broadcasting team as they will need to cultivate their own relationships. (I only want to assist with content not get involved).
 - o **Historical and new customers.**
 - List shared and connected to (Internal bandwidth and access to traders is improving this process).
 - o **Connectivity to trading platforms**
 - (Names and contacts been shared previously)
 - o **Access/China**
 - 30 year plus relationships.
 - o Diversification in other areas for your business once the relevant diagnostics have been completed alongside demographics. Once your customer base is better understood a higher ARPU can be achieved.
- I tried to call you yesterday George, please reach out when it is convenient.

I think that this has answered all your points but please reach out if I don't.

Stuart

From: George Eaton-Busfield

Sent: 03 June 2018 12:41

To: Stuart Pettman - Spap <spettman@spap.co.uk>

Cc: Jason, The JET Group <jason.earl@thjetgroup.co.uk>; Adam Voce <adam@ig-cv.com>; Kelly Tinkler <kelly.tinkler@keystonelaw.co.uk>; David Bailey <David.Bailey@healys.com>; index arb <indexarb@hotmail.com>; Albie Turner <at@plmarksandco.com>; George Eaton-Busfield <george@ig-cv.com>

Subject: RE: Mr Pettman - Offer of Consultancy and Related Matters.

Importance: High

Dear Stuart, Adam, Jason,

I am in need of some information and / or confirmations from you each please as indicated below.

I am dependent up on this for the operation of documentation and related actions but there is no reason for this to delay any payment or as a 'good faith' payment or as per any agreement(s) reached) as Mr Hayward will be back from holiday also.

For the avoidance of doubt there is a willing to make an equitable good faith payment or payment per an agreement made on Monday regardless.

I have also now copied to accounts and to my personal email on Monday also.

In any event please respond as soon as you are able. Thanks in advance.

Given some of the subject matter may be preferred to be private may I suggest / request that you each please provide by return via private message such that it can be utilised respectfully in such a manner.

Stuart / Al:

1. Please see attached updates noted at Companies House today. Do any of you have information on the meaning or impact of these occurrences - particularly given they have all concerning occurred at the same time? - SP / JE
2. Recently we have only recently become aware there was (or is soon to be) a Liveness/cracker meeting - similarly please can you advise on what the significant points and potential impacts of this are on the company & its creditors? - SP / JE
3. Please can you confirm your own address Stuart, along with the address and registered office of each of the relevant companies that are under discussion. Thank you - this will be a little time this end and also confirm the details? - SP
4. Please can you confirm that you have now personally registered for VAT? And assuming so please provide your VAT number and confirm that you can provide VAT invoices if / where appropriate? - SP
5. Please can you provide a list of roles and responsibilities that you are happy and willing to provide as part any consulting / business development role? - SP
6. Stuart please can you also give me call today / tomorrow to clarify / catch up on a few other matters. Many Thanks. - SP

Adam / Jason:

7. Please revert with the calculations requested re RAN - as discussed yesterday. Please call if anything else you need. - AV
 8. Adam please also call after your funeral today to ensure and confirm the other related HR matters have been completed and / or are on track to be completed Monday as discussed. - AV
 9. Please can you also both provide a list of roles and responsibilities that you think appropriate and of value to ask to be included in any consulting / business development role? - SP
- (There are various authorisations required relating to the above etc. - but will raise in a separate email chain with you both / others as appropriate or necessary). - JE / AV

My thanks in advance for the above, and for your patience in these matters, please provide the detail and content as soon as possible by return.

This should enable the various actions and agreements to be completed Monday and thus enable all to focus on more positive and forward looking activities next week.

Jason, Adam, please advise asap if there are any inaccuracies within it or any previous email on this subject.

Regards,

George

Consultant on behalf of RANInquack.

From: George Eaton-Busfield

Sent: 31 May 2018 13:25

To: Stuart Pettman - Spap <spettman@spap.co.uk>

Cc: Jason, The JET Group <jason.earl@thjetgroup.co.uk>; Adam Voce <adam@ig-cv.com>; Kelly Tinkler <kelly.tinkler@keystonelaw.co.uk>; David Bailey <David.Bailey@healys.com>; index arb <indexarb@hotmail.com>; George Eaton-Busfield <george@ig-cv.com>

Subject: RE: Mr Pettman - Offer of Consultancy and Related Matters.

Importance: High

Dear Stuart,

Sorry that we were unable to get these documents to you yesterday. Mr Voce and myself were still discussing associated matters late last night - primarily due to an unforeseen, semi-related but critical resolving matter that arose at the business the day before. Without going into the detail, as confidential to the company, afraid this had to take priority in the circumstances. Notwithstanding the above, we still aim to get the documents over to you within the next 24 hours, and can then raise either the first initial payment, or the interim 'good faith' payment, from this Monday onwards. (When the requisite people return from vacation). Thanks for your patience, rest assured this matter is a priority for us.

RE: Mr Pettman - Offer of Consultancy and Relat...

Kind Regards
George
Consultant on behalf of RANQZAWK.

From: George Eaton-Busfield
Sent: 29 May 2018 16:55
To: Stuart Pettman <stpettman@jetgroup.co.uk>
Cc: Jason, The JET Group <jason.carroll@jetgroup.co.uk>, Adam Voce <adam@j-cv.com>, George Eaton-Busfield <george@j-cv.com>, Kelly Trinkler <kelly.trinkler@evystonelaw.co.uk>, David Bailey <David.Bailey@healy.com>, Index arb <ind-arb@hotmail.com>
Subject: Mr Pettman - Offer of Consultancy and Related Matters.
Importance: High

Dear Stuart,

Hope you are well. Please be advised that the a formal offer together with supporting drafted and proposed documentation will be with you by close of play tomorrow. Apologies for our semi-informed position at this point, but have indirectly heard various updates and commentary regarding this matter today - please be sure to highlight by return asap any new or additional factors you want considering such that they can be accommodated where / if possible.

Accordingly, and on a more specific point, I am aware that the idea to make an advanced "good faith" payment (today or tomorrow and of circa £15,000) was raised recently - pending the finalization and completion of the above said documentation.

Whilst I understand this remains an option from this side, it is hoped this will not be necessary, given it is anticipated all relevant and final documentation will be available able to be completed within 24 - 48 hours and thus supersede the purpose. Moreover, it is feared that such payment would only evoke discord given that it is now understood you hoped to use it specifically to compensate your lawyer Mr Trinkler, which under normal circumstances would be of no issues and indeed all I suggest would be happy that you are relieving the financial burden upon yourself; however, for reasons you are aware it is likely not as simple as that as Mr Bailey would argue and equitably also be due a share of such sum or the entire sum. Obviously these are not matters of our concern directly, but given the complexities of relationships and introductions made etc, the last thing wanted is for you to be in any situation where by no fault of your own, and relating to matters beyond your control, you are under any additional distress.

THEFORE, I am advised as stated - documents with you by close of play tomorrow. Funds to follow such that all holistic and cross party matters at hand can be resolved satisfactorily across the board. Thanks in advance - hopefully this will finally enable a conclusion to these matters.

Kind Regards
George

Consultant on behalf of RANQZAWK.